

# AFTER

Company logo



If you have any questions,  
please call us on

**08000 000 000**

## Stocks and Shares ISA

Application form 2008/09

You can also apply for this ISA online at [www.companyname.co.uk/online](http://www.companyname.co.uk/online)

Companyname  
use only

Please complete in block capitals and black ink

### 1 Personal details



<b>Client reference number</b> (if you are already a Companyname client)	<input type="text"/>
<b>Title</b>	<input type="text"/>
<b>Forenames</b>	<input type="text"/>
<b>Surname</b>	<input type="text"/>
<b>Permanent residential address</b>	<input type="text"/> <input type="text"/> <input type="text"/>
<b>Postcode</b>	<input type="text"/>
<b>Mailing address</b> (if different)	<input type="text"/> <input type="text"/> <input type="text"/>
<b>Postcode</b>	<input type="text"/>
<b>Phone</b>	<input type="text"/>
<b>Email</b>	<input type="text"/>
<b>Date of birth</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>National Insurance number</b> (if you have a one, you must provide it)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<b>Mother's maiden name</b> (we will use this for security purposes)	<input type="text"/>

### Bank account

<b>Bank or building society name</b>	<input type="text"/>
<b>Account name</b>	<input type="text"/>
<b>Account number</b>	<input type="text"/>
<b>Sort code</b>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

## 2 Sharing your account information



Complete this section if you would like us to share information about your account with another person.

Name	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>		
Postcode	<input type="text"/>	Their relationship to you	<input type="text"/>
<input type="checkbox"/>	Please send duplicate statements to this person		
<input type="checkbox"/>	Please respond to information requests from this person		
<input type="checkbox"/>	Please accept instructions from this person. I enclose a power of attorney, or a letter signed by me confirming this. Please send me a Third-party authority form		

## 3 Paying into your ISA



Please remember that the maximum you can pay into an ISA in any tax year is £7,200. You can invest some or all of this in your Stocks and Shares ISA.

You can pay money into your ISA:

- with one or more lump sum payments
  - with regular direct debits
  - by transferring in another ISA
  - by share exchange or transferring in employee shares
- or with any combination of these, up to the £7,200 limit.

### Pay in a lump sum

Amount  
(minimum £50)

£

Please make your cheque payable to Companyname Savings Limited and send it with this form

### Set up a direct debit

Make payments

monthly

quarterly

Amount of each payment  
(minimum £50)

£

On this day of the month  
(or next business day)

1st

15th

8th

22nd

Please fill in a direct debit instruction and send it with this form

### Transfer in another ISA

Tick to select this option

Please fill in a Stocks and Shares ISA transfer request form and send it with this form  
Now go to section 7

### Share exchange or transfer in employee shares

Tick to select this option

Please contact us or go to [www.alliancetrust.co.uk/isa\\_documents.htm](http://www.alliancetrust.co.uk/isa_documents.htm) for:

- ISA Share Exchange documentation
- Transferring in Employee Shares documentation

For 14 days after you have sent us this form, you can change your mind and cancel your payments.

## 4 Investments



- Investment**
- Enter the full name and class of each investment. If you need any information on eligible investments, please call us on 08000 000 000 or refer to our brochure.
  - To put money into your deposit account for investment later, write 'deposit'; to put it into your online dealing account, write 'online'.

- Income option** When your income from an investment reaches £100, we will invest the money in more of the same investment.
- To use income to buy a different investment, enter the full name and class of the investment.
  - To change the amount that triggers reinvestment, tick a different amount below.
  - To have income paid into your deposit account, write 'deposit'; to have it paid into your online dealing account, write 'online'; to have it paid into your bank account, write 'cash' (your bank account details are in section 1).

Investment		Payment amount		Income redirection	
Name (including class)	Ticker code	Amount (£)	% of direct debits	Name (including class)	Ticker code
<b>Total</b>		£	%	(The amount should match the amount you are paying in; percentages should add up to 100%)	

### More income options

When your income for an investment reaches £100, we will reinvest the money (unless you have chosen another option).

Change the amount that triggers reinvestment to:  £150  £300  £500

If you have chosen to have income paid into your bank account and written 'cash' in the Income option column, please choose how often income is paid to you:

monthly  quarterly  half-yearly  yearly

Starting from (month)

## 5 Declaration by Companyname



### We declare to you that:

- We are an ISA manager authorised by Her Majesty's Revenue & Customs.
- We are authorised by the Financial Services Authority.
- We will hold cash subscriptions, Stocks and Shares ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash under a Stocks and Shares ISA with us, for your absolute interest and benefit.
- Your ISA and your rights under the ISA will be governed by the details on this application form, our terms and conditions and our charges schedule, which may all be amended from time to time.
- Under the Data Protection Act 1998, we are a data controller and will process data about you in performance of our duties as an ISA manager.

## 6 Your declaration to Companyname and signature



I apply for a Stocks and Shares ISA and the first year to which this application relates is the year specified in the date of signing of this form.

I confirm that I have been given and have read the relevant sections of your terms and conditions, charges schedule, and any relevant key features.

I agree to be bound by this application form and your terms and conditions.

### I declare that:

- I am 18 years of age or over and am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or am married to, or in a civil partnership with, a person who performs such duties. I will inform you if I cease to be so resident and ordinarily resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- I have not made payments into any other Stocks and Shares ISA in the tax year to which this form applies, and will not make payments into any other ISA, and will not pay in more than the maximum allowed in any year.
- All payments made and to be made belong to me personally.
- Any cash held in the Stocks and Shares ISA will be held only for the purpose of investment in eligible investments within that ISA.
- The information given in this application is true and correct to the best of my knowledge and belief and I undertake to inform you without delay of any changes to the information given in this application and any other changes which may affect my eligibility to hold, or make payments into, this Cash ISA.
- By completing this application form, I consent to your using my information in the manner described.

Signature

Date

D	D	M	M	Y	Y	Y	Y
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### I hereby authorise you to:

- hold my cash subscriptions, Stocks and Shares ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash under a Stocks and Shares ISA with you, subject to your terms and conditions and to make on my behalf any claims to relief from tax in respect of ISA investments
- on my authenticated request, and subject to the terms and conditions of the Stocks and Shares ISA, to transfer or pay to me, as the case may be, Stocks and Shares ISA investments, interest, dividends, rights or proceeds in respect of such investments or any cash
- if I do not already have a Personal ID and PIN, issue me with them on acceptance of this form
- act on instructions given under that Personal ID and PIN through Companyname online and phone facilities.

### Please let me know about Companyname's products, services and events, including special offers, new products and services, and investment tools:

<input type="checkbox"/>	by post	<input type="checkbox"/>	by email
<input type="checkbox"/>	by phone		

*If you change your mind, please write to our Customer Services Manager at the address below.*

## Checklist

### I have enclosed at least one of these:

- a cheque made payable to Companyname Limited
- a completed direct debit instruction
- a completed Stocks and Shares ISA transfer request form
- completed ISA Share Exchange documentation or Employee Shares documentation

Please return to: Companyname, PO Box 000, House, Street, Town, AA1 0ZZ



This literature is printed on paper made from trees grown in sustainably managed forests.

Companyname Limited, PO Box 000, House, Street, Town, AA1 0ZZ.

Tel +44 (0)1111 222222 Fax +44 (0)111 333333 Email [contact@companyname.co.uk](mailto:contact@companyname.co.uk) Web [www.companyname.co.uk](http://www.companyname.co.uk)

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